

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Patent Application Transmittal

IBM Corporation  
Intellectual Property Law  
915/972-2/972E  
1000 River Street  
Essex Junction, Vermont 05452

Commissioner for Patents  
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventors: Toshiharu Furukawa, Mark C. Hakey, Steven J. Holmes, David V. Horak, James M. Leas, William H-L Ma, Paul A. Rabidoux  
For: **VERTICAL DUAL GATE FIELD EFFECT TRANSISTOR**

Enclosed are:

- ☒ 39 sheets of informal drawings consisting of 39 figures.
- ☒ An assignment of the invention to: International Business Machines Corporation, Armonk, New York 10504
- ☒ A certified copy of application Serial No.:
- ☒ An Information Disclosure Statement.
- ☒ A verified statement to establish small entity status under 37 C.F.R. §§ 1.9 and 1.27.
- ☒ A combined declaration and power of attorney
- ☐ A preliminary amendment.

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
For:	No. Filed	No. Extra
Basic Fee		
Total Claims	44 - 20 =	24
Indep. Claims	4 - 3 =	1
Multiple Dependent Claim Presented		

Other Than Small Entity	
Rate	Fee
	\$ 710.00
24 x \$18.00 =	\$ 432.00
1 x \$80.00 =	\$ 80.00
\$270.00	\$ 0.00
TOTAL	\$ 1,222.00

- ☒ Please charge Deposit Account 09-0456 in the amount of \$1,222.00. A duplicate copy of this sheet is enclosed.
- ☒ Commissioner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 09-0456. A duplicate copy of this sheet is enclosed.
  - ☒ Any additional filing fees required under 37 C.F.R. §1.16.
  - ☒ Any patent application processing fees under 37 C.F.R. §1.17.

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231 as "Express Mail Post Office to Addressee"

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Respectfully submitted,

FOR: Toshiharu Furukawa, Mark C.  
Hakey, Steven J. Holmes, David V.  
Horak, James M. Leas, William H-L  
Ma, Paul A. Rabidoux

BY: Mark F. Chadurjian  
Attorney: Mark F. Chadurjian  
Registration No.: 30,739  
Telephone No.: (802) 769-8843

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